

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

ELLIS et al

Serial No. 09/964,849

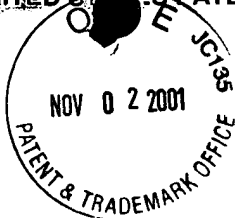
Filed: September 28, 2001

For: OXIDATION PROCESS FOR THE PRODUCTION OF ALKENES AND  
CARBOXYLIC ACIDSAssistant Commissioner for Patents  
Washington, D.C. 20231

Attention: Application Branch

Atty. Dkt. 608-312

Date: November 2, 2001



Sector

The attached completes filing of the above-identified patent application:

- ☒ Signed Rule 63 Declaration alone, ☐ Copy of Declaration from prior application alone, OR  
☐ Signed Declaration plus attached copy of originally filed specification/drawings.  
☒ **NOTICE TO FILE MISSING PARTS OF APPLICATION FILING DATE GRANTED** form.  
☒ Record the attached assignment and return to the undersigned.  
☐ Attached is a Power of Attorney.  
☒ Priority is hereby claimed under 35 U.S.C. § 119 based on the following foreign applications:
- | Application Number | Country       | Day/Month/Year Filed |
|--------------------|---------------|----------------------|
| 0026243.6          | Great Britain | 26 October 2000      |

respectively.

- ☐ Certified copy(ies) of foreign application(s) is/are attached.  
☒ Certified copy(ies) filed on September 28, 2001 in prior application no. 09/964,849, filed September 28, 2001.  
☐ Applicant claims "small entity" status. ☐ "Small entity" statement attached.  
☐ Please enter the attached and/or below preliminary amendment prior to calculation of filing fee.  
☐ Also attached: ☐ Information Disclosure Statement; ☐ Nucleotide and/or Amino Acid  
 Sequence Submission; ☐ Other:

**Fees due are calculated below:**

Basic filing fee					\$	740.00
Total Effective claims	23	- 20 =	3	x \$	18.00	\$ 54.00
Independent claims	1	- 3 =	0	x \$	84.00	\$ 0.00
If any proper multiple dependent claims now added for first time, add \$280.00 (ignore improper)						\$ 0.00
<b>FILING FEE</b>						<b>\$ 794.00</b>
Petition is hereby made to extend the current due date so as to cover the filing date of this paper and attachment(s) (\$110.00/1 month; \$400.00/2 months; \$920.00/3 months; \$1440.00/4 months)						\$ 0.00
Surcharge (\$130.00) if Declaration or filing fee first now submitted						\$ 130.00
English translation of specification and claims (\$130.00)						\$ 0.00
<b>FIRST SUBTOTAL</b>						<b>\$ 924.00</b>
If "small entity," enter half (½) of subtotal and subtract						-\$ 0.00
<b>SECOND SUBTOTAL</b>						<b>\$ 924.00</b>
Assignment Recording Fee (\$40.00)						\$ 40.00
<b>TOTAL FEE DUE</b>						<b>\$ 964.00</b>
Check enclosed (Pre-Bill)*						\$ 964.00
Check enclosed (non Pre-Bill)*						\$
<b>TOTAL FEE ENCLOSED</b>						<b>\$ 964.00</b>

Any future submission requiring an extension of time is hereby stated to include a petition for such time extension. The Commissioner is hereby authorized to charge any deficiency in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our **Account No. 14-1140**. A duplicate copy of this sheet is attached.

1100 North Glebe Road, 8<sup>th</sup> Floor  
 Arlington, Virginia 22201-4714  
 Telephone: (703) 816-4000  
 Facsimile: (703) 816-4100  
 LCM:lks

**NIXON & VANDERHYE P.C.**

By Atty: Leonard C. Mitchard, Reg. No. 29,009

Signature: 

\*For N&amp;V internal accounting purposes



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/964,849	09/28/2001	Brian Ellis	608-312

NIXON & VANDERHYTE P.C.  
8th Floor  
1100 North Glebe Road  
Arlington, VA 22201-4714



CONFIRMATION NO. 2377

## FORMALITIES LETTER



\*OC000000006955397\*

Date Mailed: 10/23/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$374.
  - \$54 for 3 total claims over 20.
  - \$320 for 4 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1214.

11/19/2001 MLAKEM1 00000007 141140 09964849

01 FC:102 336.00 CH

A copy of this notice **MUST** be returned with the reply.

11/05/2001 WABDELRI 00000045 09964849

01 FC:101  
02 FC:105  
03 FC:103

740.00 OP  
130.00 OP  
54.00 OP

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

11/05/2001 WABDELRI 00000042 09964849

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02 FC:101 710.00 CH  
03 FC:103 54.00 OP  
04 FC:102 130.00 OP